

# Bottom and top reconstruction as benchmarks for the ILD Detector

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## Abstract

A comprehensive study of bottom-quark pair and top-quark pair production using the semi-leptonic decay channel at  $\sqrt{s} = 500$  GeV is presented that benchmark the performance of the current so-called *large* and *small* models of the ILD Detector. The event reconstruction exploits distinguished features of the detector such as lepton identification, vertex charge reconstruction and particle ID with the central TPC of the ILD Detector. With these techniques the final state leptons, the  $b$ -quark and  $\bar{b}$ -quark and the  $W$  can be unambiguously reconstructed. Both detector models perform similarly well with a slight advantage of the large detector model. In case of top quark pair production the selection efficiency is between 30% and 60% for semi-leptonic events. For this channel the note presents an update of the perspective of the physics potential w.r.t. earlier studies for an integrated luminosity of  $3200 \text{ fb}^{-1}$ . The results for  $e^+e^- \rightarrow b\bar{b}$  demonstrate that also this channel can accurately measured at  $\sqrt{s} = 500$  GeV.

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## 1. Introduction

Heavy quarks may be messengers of new physics of primary importance [1]. Their large mass compared with other fermions can be explained in Randall Sundrum models [2, 3] featuring warped extra dimensions that are dual to model, which assume that the heavy quarks are composite objects [4]. High precision  $e^+e^-$  collisions with polarised beams around the TeV scale are ideally suited to detect new physics effects [5, 6]. Precise measurements of the electroweak couplings of third-generation quarks require superb detector performance in terms of flavour tagging including the event by event determination of the charge of the final state jets to avoid for example migrations in polar angle spectra and/or to reconstruct events in which the heavy quark charge is the only handle to distinguish between particles and anti-particles. The charge determination happens mainly by a combination of the determination of the summed charge of tracks pointing to a secondary vertex or by the identification of the charge of a final state Kaon. This in turn requires a successful particle identification by the detector. Therefore processes with heavy quark final states, i.e.  $e^+e^- \rightarrow \phi b\bar{b}$  and  $e^+e^- \rightarrow t\bar{t}$  are highly relevant for the benchmarking of the detector performance. In short one can test the following detector capacities.

- Track finding efficiency
- Stringent test of (secondary) vertexing
- Particle ID

In case of  $e^+e^- \rightarrow t\bar{t}$  leptonic and semi-leptonic decays of the  $t$ -quark pair provide an important additional handle for the accurate measurement of the final state. The analysis presented in this

41 note focuses on the semi-leptonic decay mode of the top-quark pair. The analysis of  $t\bar{t}$  production  
 42 and  $b\bar{b}$  production share a number of commonalities. Therefore these two analyses are joined in this  
 43 note. The analyses presented in this note start out from the PhD thesis of Sviatoslav Bilokin that are  
 44 based on the DBD samples and software versions [7]. This work has in part been published in Ref [6].  
 45 The analyses are ported to the large, IDR-L, and small, IDR-S, detector models, respectively, of the  
 46 ILD detector [8] for the International Linear Collider [9]. The research program includes high-statistics  
 47 running at  $\sqrt{s} = 250$  GeV and  $\sqrt{s} = 500$  GeV. For further details of the operation scenarios see Ref. [10].  
 48 For the process  $e^+e^- \rightarrow b\bar{b}$  an analysis at  $\sqrt{s} = 500$  GeV is presented instead of  $\sqrt{s} = 250$  GeV as  
 49 in Ref. [6]. The results here benefit from a refined analysis strategy for the ILD paper that is under  
 50 review in ILD.

## 51 2. Methods, tools and Monte Carlo samples

52 For the event reconstruction we use the `ILCSOFT` version `v02-00-02`. The software allows for a full  
 53 detector simulation and event reconstruction including digitisation steps. For the analyses presented  
 54 we use the versions `ILD_s5_o1_v02` and `ILD_15_o1_v02` of the detector model.

55 For the event reconstruction we use the following methods

- 56 • ‘Core tools’

- 57 – In case of  $e^+e^- \rightarrow t\bar{t}$  we use the standard Durham algorithm for jet clustering (`ee_genkt_algorithm`  
 58 in internal nomenclature) and the Valencia algorithm for  $\gamma\gamma$  background removal. Both al-  
 59 gorithms are implemented in the `FastJet` package.
- 60 – In case of  $e^+e^- \rightarrow b\bar{b}$  we use the Valencia jet algorithm implemented in `LCFIPlus` (`ValenciaVertex`  
 61 in internal nomenclature). We apply the option in which the algorithm does not break sec-  
 62 ondary vertices. In this algorithm the distance between two objects is calculated as

$$d_{ij} = 2 \min(E_i^{2\beta}, E_j^{2\beta})(1 - \cos \theta_{ij})/R^2 \quad (1)$$

63 The distance of a particle  $i$  to the beam is calculated according to.

$$d_{iB} = E^{2\beta} \sin^{2\gamma} \theta_{iB} \quad (2)$$

64 The jet algorithm is run with the following settings:  $\alpha = \beta = \gamma = 1$ ,  $R = 1.4$

- 65 – We use the `LeptonFinder` to identify isolated electrons and muons in semi-leptonic  $t\bar{t}$  events.
- 66 – For the vertex finding we use the `LCFIPlus` in a private version maintained by Ryo. This  
 67 version will soon be implemented into the official `ILCSOFT` package.

- 69 • Tools developed for the study

- 70 – The `VertexRestorer` Processor identifies reconstructed tracks that have not been associated  
 71 to secondary vertices from B-Meson decays but belongs to this decay according to the  
 72 Monte Carlo Truth information. It then recovers the ‘lost’ tracks by means of the impact  
 73 parameters  $d_0$  (transversal) and  $z_0$  (longitudinal). In this present note the recovery uses  
 74 only the impact parameter  $d_0$  since the algorithms needs to be adapted for the vertex  
 75 smearing present in the simulation for the IDR.
- 76 – The `ParticleTagger` Processor identifies the Kaons by means of the  $dE/dx$  measured  
 77 in the TPC of ILD. It selects a strip in the  $dE/dx$ -momentum plane with a high kaon  
 78 concentration. The efficiency and the purity of the Kaon selection vary as a function of the  
 79 width of this strip.

- 80 – The `QQbarAnalysis` Processor calculates the jet charge and the polar angle of the bottom  
81 and top quark pair, respectively. It contains separate methods for the bottom and top quark  
82 pair analysis.
- 83 – The `TrashRecoProcessor` enables comparisons between reconstructed and generated quan-  
84 tities.
- 85 – The described tools are available under <https://github.com/qqbaranalysis>. This repository  
86 contains also a set of macros necessary for the final steps of the analysis.
- 87 • The following method combines the results of the two (nearly independent) charge measurements  
88 on the  $b$  and  $\bar{b}$  jet into a robust charge determination.

$$\left. \begin{aligned} N_{acc} &= Np^2 + Nq^2 \\ N_{rej} &= 2Npq \\ 1 &= p + q \end{aligned} \right\} N_{corr} = N_{acc} \cdot \frac{p^2}{p^2 + q^2} \quad (3)$$

89 where  $N$  is total number of events,  $N_{acc}$  and  $N_{rej}$  are the number of events that were accepted  
90 and rejected, respectively. The  $p$  and  $q$  values represent the probabilities for a correct or an  
91 incorrect reconstruction of the  $b$ -quark charge, respectively. Solving this equation allows to  
92 correct for migrations caused by imperfect reconstruction or  $B^0 - \bar{B}^0$  oscillations in the resulting  
93 polar angle spectrum. The correction has been applied to the  $b\bar{b}$  studies but not (yet) for  $t\bar{t}$ .  
94 For the latter the selection scheme in  $t\bar{t}$  is more involved rendering its application less straight  
95 forward since e.g. the  $b$ -quarks are not necessarily back-to-back.

### 96 2.1. Monte Carlo samples

97 Samples generated with WHIZARD 1.95. Top quark pair production is the dominant process in  
98 the  $e^+e^- \rightarrow b\bar{b}l\nu q\bar{q}$  sample, but it contains also single  $t$  and  $WWZ$ . In case of the  $t$  quark study we  
99 have analysed samples for the beam polarisations  $\mathcal{P}_{e^-}, \mathcal{P}_{e^+} = \pm 1, \mp 1$ . In case of the  $b$  quark study we  
100 have studied only the configuration  $\mathcal{P}_{e^-}, \mathcal{P}_{e^+} = \mp 1$  since this study is just considered as a supporting  
101 study for the  $t$  quark analysis and the chosen polarisation configuration is the more challenging for the  
102 detector performance due to larger migration effects in the polar angle reconstruction.

103 More precisely the results presented in this note are based on the following samples:

- 104 •  $e^+e^- \rightarrow t\bar{t}$ :
  - 105 –  $yyxye\nu, \mathcal{P}_{e^-}, \mathcal{P}_{e^+} = \mp 1$ : <https://ild.ngt.ndu.ac.jp/elog/opt-data/?GenProcessID=108670>  
106 This sample contains the final state resulting from the  $W \rightarrow e\nu$  decay.
  - 107 –  $yyxye\nu, \mathcal{P}_{e^-}, \mathcal{P}_{e^+} = \pm 1$ : <https://ild.ngt.ndu.ac.jp/elog/opt-data/?GenProcessID=108670>  
108 This sample contains the final state resulting from the  $W \rightarrow e\nu$  decay.
  - 109 –  $yyxy\nu, \mathcal{P}_{e^-}, \mathcal{P}_{e^+} = \mp 1$ : <https://ild.ngt.ndu.ac.jp/elog/opt-data/?GenProcessID=108675>  
110 This sample contains the final state resulting from the  $W \rightarrow \ell\nu$  decay with  $\ell = \mu, \tau$ . For  
111 the analysis presented here the final state with  $\ell = \tau$  has been discarded.
  - 112 –  $yyxy\nu, \mathcal{P}_{e^-}, \mathcal{P}_{e^+} = \pm 1$ : <https://ild.ngt.ndu.ac.jp/elog/opt-data/?GenProcessID=108676>  
113 This sample contains the final state resulting from the  $W \rightarrow \ell\nu$  decay with  $\ell = \mu, \tau$ . For  
114 the analysis presented here the final state with  $\ell = \tau$  has been discarded.
  - 115 –  $yyxy\nu, \mathcal{P}_{e^-}, \mathcal{P}_{e^+} = \pm 1$ : <https://ild.ngt.ndu.ac.jp/elog/opt-data/?GenProcessID=108676>  
116 This sample contains the final state resulting from the  $W \rightarrow \ell\nu$  decay with  $\ell = \mu, \tau$ . For  
117 the analysis presented here the final state with  $\ell = \tau$  has been discarded.  
118

- 119 •  $e^+e^- \rightarrow b\bar{b}$ : The  $b\bar{b}$  events are extracted from a sample of simulated  $q\bar{q}$  events <https://ild.ngt.ndu.ac.jp/eelog/opt-data/?GenProcessID=250114> with  $q = u, d, s, c, b$ . The generated cross section for these events is 32470 fb and the total integrated luminosity is about  $46 \text{ fb}^{-1}$ . The genuine  $e^+e^- \rightarrow b\bar{b}$  at 500 GeV is about 4% of the total cross section yielding a total number of events of about 60000.

124 Note that the analysis of the  $e_R^+e_L^- \rightarrow t\bar{t}$  came quite late in the study since it is generally considered  
 125 that similar to  $e^+e^- \rightarrow b\bar{b}$  the  $e_L^+e_R^- \rightarrow t\bar{t}$  is the more challenging channel. Therefore, the corresponding  
 126 control plots in Secs. 3 and 4.2 are only given for this channel in this note.

### 127 3. Efficiencies and Control plots

128 Figure 1 demonstrates that the studies presented in this note test the detector performance for  
 129 very different momenta of the final state  $b$  quark.

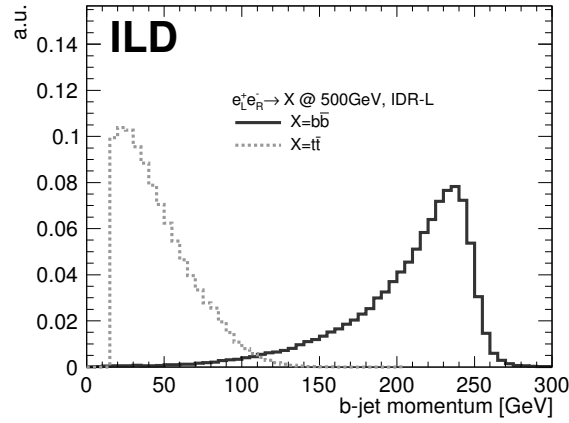


Figure 1: Momentum of the  $b$ -jet with cheated identification for  $e^+e^- \rightarrow b\bar{b}$  and  $e^+e^- \rightarrow t\bar{t}$  processes.

130 The Figs. 2 and 3 show the missed tracks before and after vertex recovery for the  $e^+e^- \rightarrow b\bar{b}$  and  
 131  $e^+e^- \rightarrow t\bar{t}$  analyses, respectively. Both figures suggest a systematic improvement in the assignment of  
 132 secondary vertices.

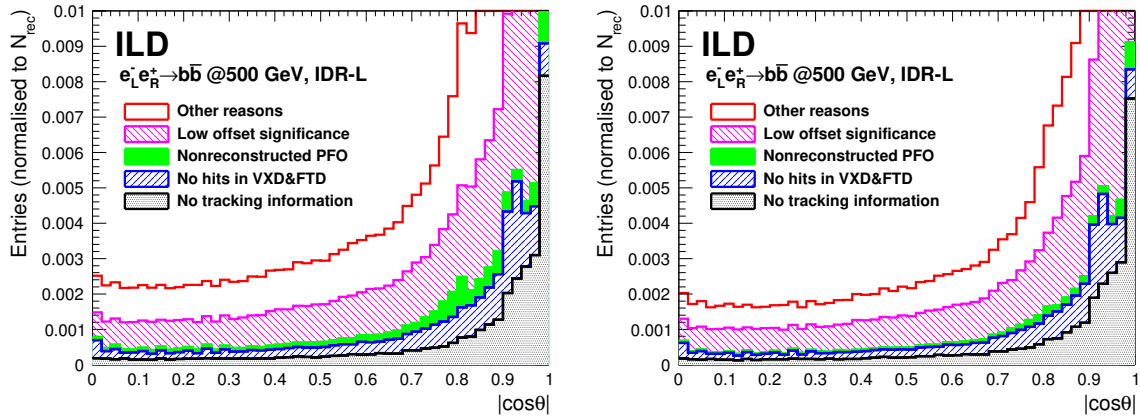


Figure 2: Polar angle of missed tracks before (left) and after (right) vertex recovery in case of the  $e^+e^- \rightarrow b\bar{b}$  process.

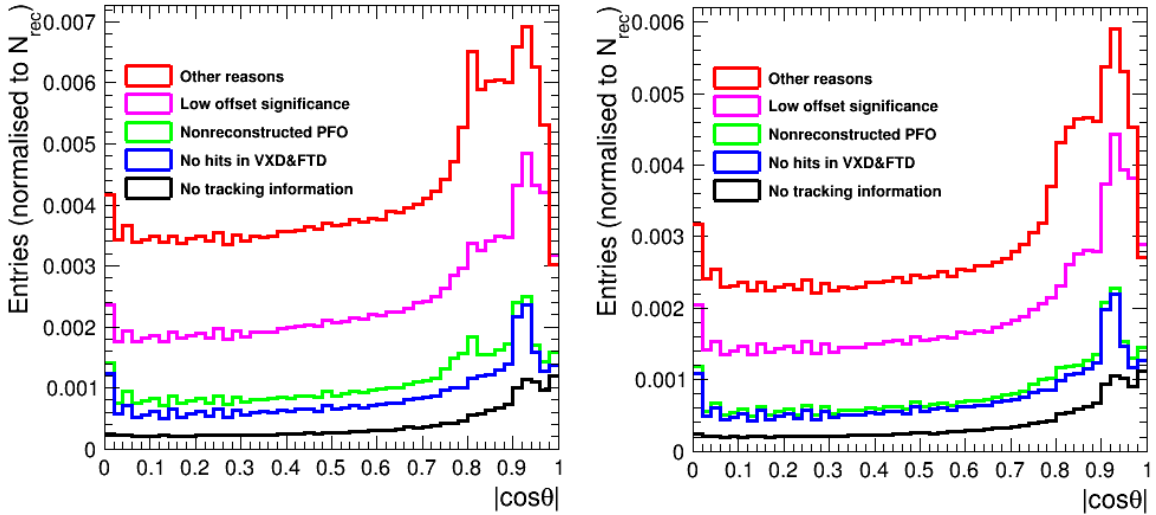


Figure 3: Polar angle of missed tracks before (left) and after (right) vertex recovery in case of the  $e^+e^- \rightarrow t\bar{t}$  process. DIFFERENT y-Axis RANGES. DIFFERENT FILL STYLE COMPARED WITH Fig. 2.

133 This improvement is quantified in Figs. 4 and 5 where the purity of the  $b$ -charge reconstruction  
 134 is shown as a function of the  $b$ -tag value, the reconstructed  $b$ -momentum  $|p_{had}|$  the number of  
 135 reconstructed tracks assigned to a secondary vertex  $N_{rec}$  and finally the polar angle of the  $b$ -hadron.  
 136 here denoted as  $|\cos\theta|$ . The  $b$ -charge purity is defined as

$$p_b = N_{correct}/N_{jet,tot}. \quad (4)$$

137 with  $N_{correct}$  being the number of  $b$ -jets with correctly reconstructed  $b$  quark charge. This value nor-  
 138 malised to the total number of  $b$ -jets  $N_{b-jet,tot}$ . for which a charge assignment according to e.g. Table 2  
 139 can be made.

140 The improvement is larger for the process  $e^+e^- \rightarrow t\bar{t}$  than for  $e^+e^- \rightarrow b\bar{b}$ . Qualitatively this is  
 141 expected since as a consequence of the different  $b$ -jet momenta, see Fig. 1, also the tracks produced  
 142 in the decay of the  $b$ -hadron are softer in case of top-pair production. In case of  $e^+e^- \rightarrow t\bar{t}$  the  
 143 improvement is 10% over a large range in  $|\cos\theta|$  and mainly driven by three to five prong decays.  
 144 Both results will further improve once the vertex recovery takes also the the impact parameter  $z_0$  into  
 145 account. All results shown so far in this section have been obtained for the large detector model. The  
 146 conclusions for the small detector model are similar.

147 The lower right panels of Figs. 4 and 5 show a drop in purity for large values of  $|\cos\theta|$ . This is  
 148 compatible with the drop in acceptance that is shown in Fig. 6 for the case  $e^+e^- \rightarrow b\bar{b}$  as a function  
 149 of the polar angle of the reconstructed  $b$ -jet  $|\cos\theta_b|$ . Within statistical errors the results are the same  
 150 for the large and the small detector model. However, towards large values of  $|\cos\theta_b|$  the large detector  
 151 performs systematically better than the small detector.

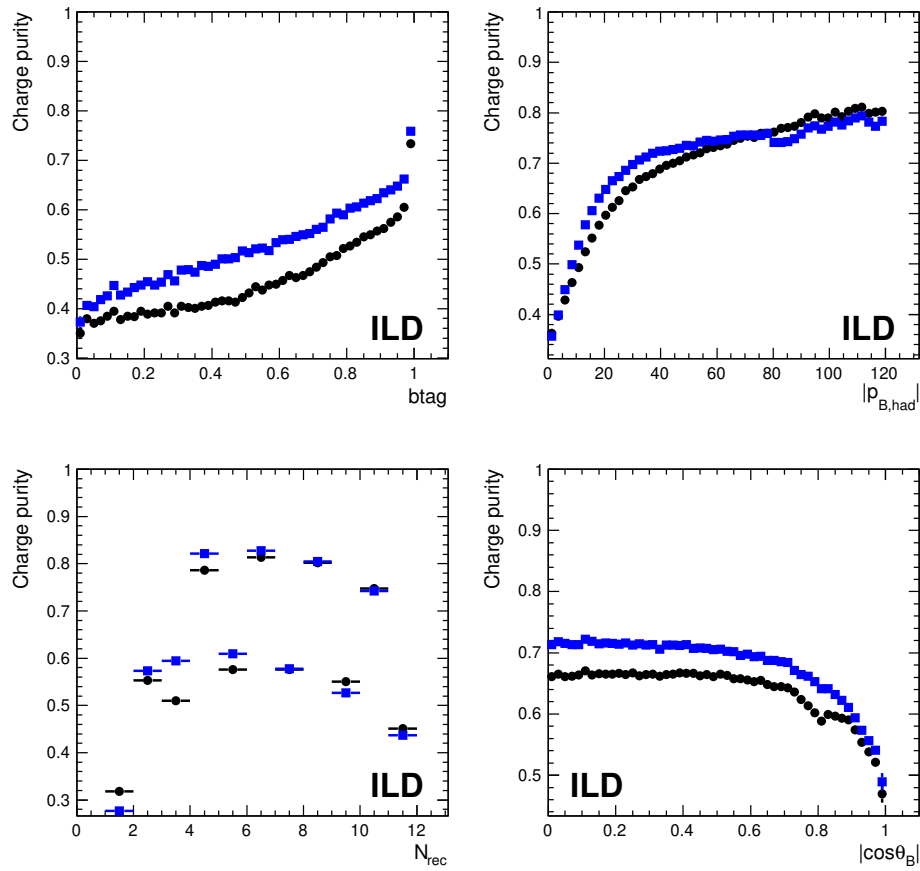


Figure 4: Purity before and after vertex recovery in case of the  $e^+e^- \rightarrow t\bar{t}$  process for different observables.

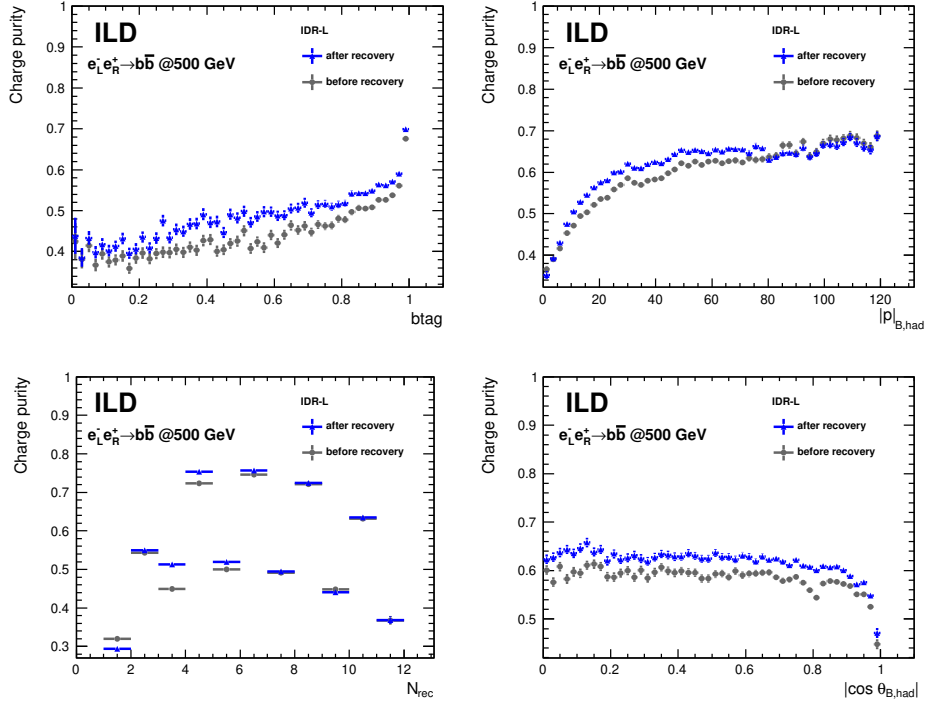


Figure 5: Purity before and after vertex recovery in case of the  $e^+e^- \rightarrow t\bar{t}$  process for different observables.

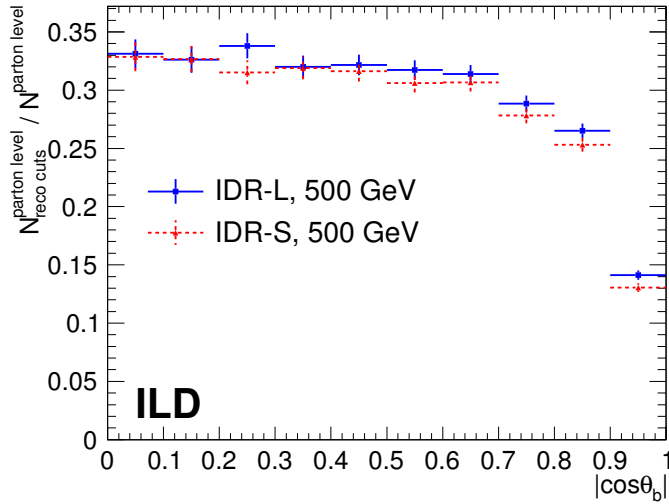


Figure 6: Detector acceptance distribution for b-tagged jets. Note the figure shows the acceptance after the full selection given in Tab. 1.

152 A component that distinguishes the ILD Detector from other proposals for  $e^+e^-$  colliders is the TPC  
 153 as the central tracking system. Beside the precise momentum measurement the  $dE/dx$  measurement  
 154 in the gaseous medium allows for a particle identification. Since around 87% of B-Mesons (neutral or  
 155 charged) contain a charged Kaon among their decay products the particle ID can support greatly the

156 charge determination of the  $b$  quark.

157 The left part of Fig. 7 shows the  $dE/dx$  values obtained in simulation for different particle types  
 158 as a function of the particle momentum. The lines indicate a strip with an accumulation of signals  
 159 produced by Kaons. A minimum momentum of 2 GeV is required for the selection of Kaons. The  
 160 right part of Fig. 7 shows the variation of the purity as a function of the Kaon selection efficiency  
 161 that corresponds to a variation of the width of the strip in the previous figure. A closer look into the  
 162 separation power in different momentum ranges is given in App. A.

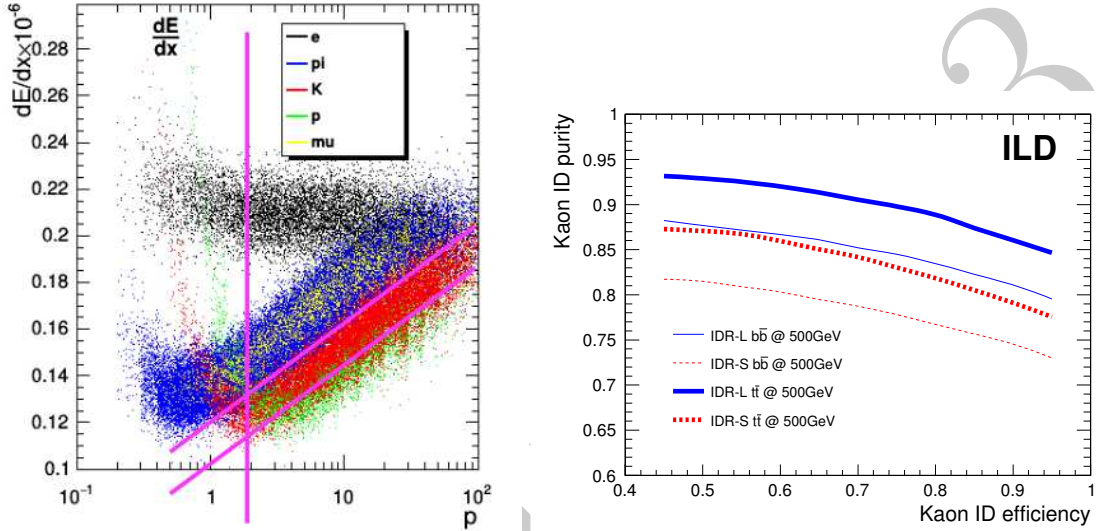


Figure 7: Left: Simulated  $dE/dx$  spectrum for different particle types. The lines indicate the cuts for the Kaon selection. Right: Purity of the Kaon selection as a function of the Kaon selection efficiency.

#### 163 4. Event selection

164 In the following the event selection of the two final states under study will be presented. The  
 165 different complexities of the final states require different set of cuts. The  $t$  quark is composed from its  
 166 decay products, the  $b$  quark and the  $W$  boson and the final state  $le$

##### 167 4.1. Analysis details specific to the $e_L^- e_R^+ \rightarrow b\bar{b}$ analysis

168 Table 1 shows the selection efficiencies for the  $e_L^- e_R^+ \rightarrow b\bar{b}$  analysis. In this case events that are  
 169 subject to the radiative return to the  $Z$ , implying an energetic final state photon, have to be suppressed.  
 170 Therefore cuts on the sum of the masses of the two jets and a cut on the photon energy are introduced.  
 171 The overall efficiency after selection of events with consistent  $b$  quark charge is with around 28% to  
 172 29% similar for both detector models. For the  $b$ -charge measurement opposite charges in opposite jets  
 173 are required. The charges are either derived from the tracks pointing to the secondary vertex or from  
 174 the Kaon charge or from a combination of both. An event is selected if there is one combination with  
 175 a consistent result. The efficiencies for the different methods are given in Tab. 2. The purity of the  
 176 different methods is shown in Fig. 8. In both cases there is no large difference between the two detector  
 177 models although the large detector seems to perform slightly better for the double Kaon method. This  
 178 suggests that the smaller outer radius of the TPC puts a, however minor, on the  $dE/dx$  measurement.

179



$$e_L^- e_R^+ \rightarrow b\bar{b} \text{ at } 500 \text{ GeV}$$

|   | IDR-L  |                                       |                       | IDR-S  |                                       |                       |
|---|--------|---------------------------------------|-----------------------|--------|---------------------------------------|-----------------------|
|   | Signal | B <sub>q<math>\bar{q}</math></sub> /S | B <sub>rad.Z</sub> /S | Signal | B <sub>q<math>\bar{q}</math></sub> /S | B <sub>rad.Z</sub> /S |
| Full sample                                       | 100.0% | 1800.5%                               | 359.1%                | 100.0% | 1800.6%                               | 359.0%                |
| $b_{tag}(jet_1) > 0.9$ and $b_{tag}(jet_2) > 0.2$ | 70.2%  | 2.3%                                  | 147.7%                | 69.9%  | 2.3%                                  | 149.0%                |
| $m_{jet_1+jet_2} > 200 \text{ GeV}$               | 68.2%  | 1.4%                                  | 6.7%                  | 67.8%  | 1.2%                                  | 6.7%                  |
| $E_{photon} < 100 \text{ GeV}$                    | 64.8%  | 1.3%                                  | 1.7%                  | 64.3%  | 1.2%                                  | 1.6%                  |
| double jet-charge measurement                     | 28.9%  | 1.0%                                  | 1.0%                  | 27.9%  | 0.9%                                  | 1.0%                  |

Table 1: Selection efficiency and B/S rejection for some bkg sources

$$e_L^- e_R^+ \rightarrow b\bar{b} \text{ at } 500 \text{ GeV}$$

|                      | IDR-L | IDR-S |
|----------------------|-------|-------|
| $Vtx+Vtx$            | 12.9% | 12.8% |
| $K+K$                | 4.4%  | 4.0%  |
| $Vtx+K$ (diff. jets) | 3.9%  | 3.7%  |
| $Vtx+K$ (same jet)   | 7.7%  | 7.4%  |

Table 2: Final selection efficiency, after double jet-charge measurement

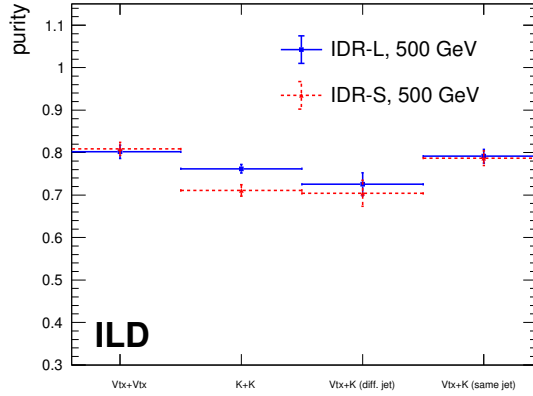


Figure 8: Purity of the methods listed in Tab. 2 used for the reconstruction of the vertex charge in the  $e_L^- e_R^+ \rightarrow b\bar{b}$ .

180 *4.2. Analysis details specific to the  $e^+e^- \rightarrow t\bar{t}$  analysis*

181 The  $t$  quark is composed from its decay products, the  $b$  quark and the  $W$  boson and the charge of  
 182 the lepton is a measure to distinguish the  $t$  from the  $\bar{t}$  quark.

183 Figure 9 shows in the left panel the energy distribution of the isolated lepton in the laboratory  
 184 frame. The distribution features a maximum at around 30 GeV and a tail towards higher energies. The  
 185 right panel shows the polar angle spectrum of the isolated lepton. The distribution decreases slightly  
 186 with a sharp drop at the acceptance limit of the detector. The distribution reveals also acceptance  
 187 drops at  $\cos\theta_\ell = 0$  and  $\cos\theta_\ell = 0.8$  that correspond to the position of the TPC anode plate and the  
 188 barrel-endcap transition region, respectively.

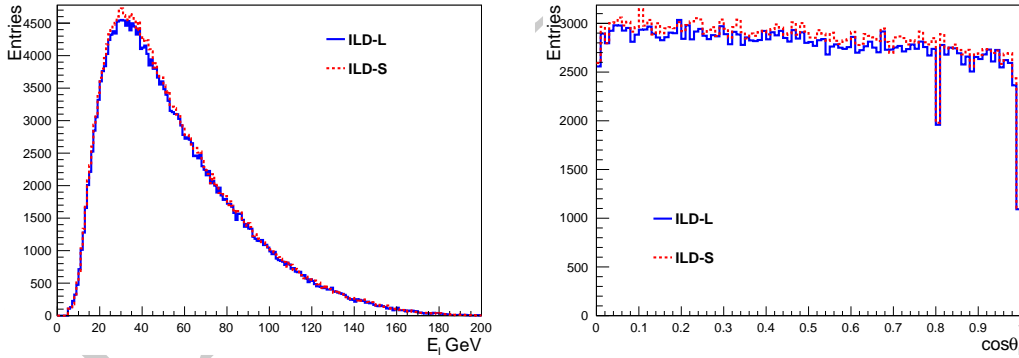


Figure 9: Left: Energy of the isolated lepton in  $e_L^+ + e_R^- \rightarrow t\bar{t}$ . Right: Polar angle distribution of the isolated lepton in  $e_L^+ e_R^- \rightarrow t\bar{t}$ .

189 For completeness Fig, 10 shows the mass distribution of the hadronic  $W$  and the hadronic  $t$  quark.

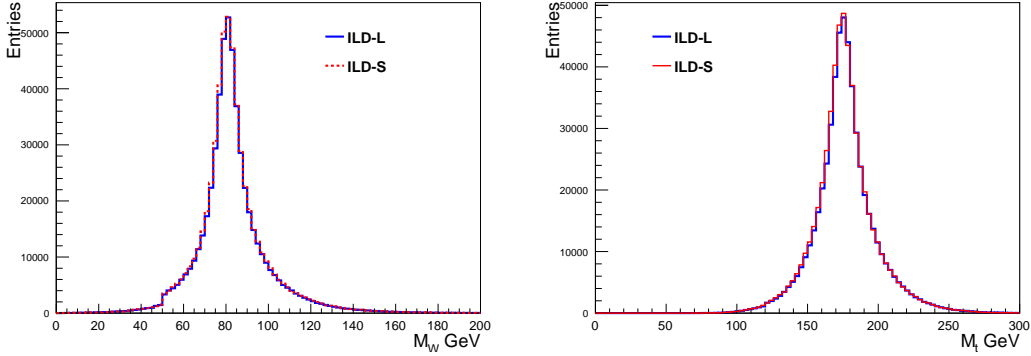


Figure 10: Left: Mass distribution of the hadronic  $W$  in  $e_L^+ e_R^- \rightarrow t\bar{t}$ . Right: Mass distribution of the hadronic  $t$  quark in  $e_L^+ e_R^- \rightarrow t\bar{t}$ .

190 Tables 3 and 5 give the efficiencies after each cut applied for the selection of  $t\bar{t}$  events. The first  
 191 part lists *General selection cuts* that were already used in Ref. [5].

192 The polar angle of the  $t$  quark  $\cos\theta_t$  is reconstructed from the hadronically decaying  $t$  quark. For  
 193 the polar angle spectrum the charge of the  $t$  quark has to be determined and the  $b$  quark and the  
 194  $W$ -boson have to be correctly associated. This is more involved in the  $e_L^- e_R^+ \rightarrow t\bar{t}$  case than in the  
 195  $e_R^- e_L^+ \rightarrow t\bar{t}$  case due to the different kinematics provoked by the  $V - A$  interaction of the  $t$  quark  
 196 decay. The different steps for an accurate reconstruction of the polar angle spectrum are listed in the  
 197 following<sup>1</sup>.

- 198 • In a first step further cuts on the sum of the Lorentz factor of the two tops of  $\gamma_t^{had} + \gamma_t^\ell > 2.4$   
 199 is applied. Here  $\gamma_t^{had}$  is the Lorentz factor of the hadronically decaying  $t$  quark and  $\gamma_t^\ell$  the  
 200 Lorentz factor of the leptonically decaying  $t$  quark. In case of  $e_L^- e_R^+ \rightarrow t\bar{t}$  a cut on the  $B$ -hadron  
 201 momentum of  $p_{B,had.} > 15$  GeV is applied in addition.
- 202 • The semi-leptonic decay of the  $t$  quark gives powerful information for the event reconstruction  
 203 giving rise to the variable  $L_{cut}$ , which means the charge of the isolated lepton plus a cut on the  
 204 event quality of  $\chi^2 < 15$  that is motivated in Ref. [5].
- 205 • For the  $b$  quark charge determination the used methods are very much similar to those in 2. The  
 206 vertex charge is supported by the requirements of  $btag > 0.8$  and a minimal hadron momentum  
 207 of 25 GeV as motivated in Ref. [6]<sup>2</sup>.
- 208 • The various methods of measuring the  $b$  quark charge are also combined with the charge of the  
 209 isolated lepton  $L$ . In this case an additional cut on  $\gamma_t^{had} > 1.23$  is applied.
- 210 • The final decision on the  $t$  quark charge is obtained from the sum of the charges associated to  
 211 the different methods. If the sum is smaller (greater) than zero then the hadronically decaying  
 212  $t$  quark candidate is said to be the  $t$  quark ( $\bar{t}$  quark).

213 Table 3 gives the final selection efficiency for the case  $e_L^- e_R^+ \rightarrow t\bar{t}$  after the inclusion of the respective  
 214 cuts. Table 4 shows the efficiencies after application of the various methods described in the list of items  
 215 above. The addition of methods other than  $L_{cut}$  increases the efficiency by around 38%. Figure 11  
 216 shows for completeness the purity of the selection for those cases in which the information from the  $t$

<sup>1</sup>We are aware that the set of cuts does not look straight forward and needs revision in the Post-IDR phase.

<sup>2</sup>In the  $e^+e^- \rightarrow b\bar{b}$  analysis this additional requirement was removed. It would have to be investigated whether this requirement can be omitted in case of  $e_L^- e_R^+ \rightarrow t\bar{t}$ , too

$e_L^- e_R^+ \rightarrow t\bar{t}$  at 500 GeV

| General selection cuts                           | IDR-L | IDR-S |
|--|-------|-------|
| Isolated Lepton                                  | 92.1% | 92.1% |
| $btag_1 > 0.8$ or $btag_2 > 0.3$                 | 81.2% | 81.1% |
| Thrust $< 0.9$                                   | 81.2% | 81.1% |
| Hadronic mass                                    | 78.2% | 78.2% |
| Reconstructed $m_W$ and $m_t$                    | 73.4% | 73.4% |
| <b><math>t</math> quark polar angle spectrum</b> |       |       |
| $\gamma_t^{had.} + \gamma_t^\ell > 2.4$          | 62.2% | 61.8% |
| $ p_{B,had}  > 15$ GeV                           | 34.5% | 33.9% |
| “ $t\bar{t}$ identification”                     | 30.6% | 30.2% |
| <b><math>b</math> quark polar angle spectrum</b> |       |       |
| No additional cuts                               |       |       |

Table 3: Event selection efficiencies after pre-selection and reconstruction of the polar angle spectrum of the  $t$  quark and that of the underlying  $b$  quark.

| Methods                 | IDR-L | IDR-S |
|-------------------------|-------|-------|
| $L_{cut}$               | 22.1% | 21.9% |
| $L+Vtx$                 | 28.6% | 28.4% |
| $L+K$                   | 29.6% | 29.3% |
| $Vtx+Vtx$               | 30.1% | 29.7% |
| $K+K$                   | 30.3% | 29.9% |
| $Vtx+K$ (same jet)      | 30.5% | 30.1% |
| $Vtx+K$ (different jet) | 30.6% | 30.2% |

Table 4: Efficiency increase after the progressive application of the various methods introduced to ensure a correct association of the  $W$  boson and the  $b$  quark in case of  $e_L^- e_R^+ \rightarrow t\bar{t}$ . The last line of this table corresponds to the line “ $t\bar{t}$  identification” in Tab. 3.

quark and the  $\bar{t}$  quark decay have been combined. As in case of  $e^+e^- \rightarrow b\bar{b}$  differences between the large and the small detector are observed for those combinations that include Kaons with the biggest difference for the pure Kaon combination  $K+K$ . The Kaon measurement is the domain of the TPC and the two models feature different outer TPC radii.

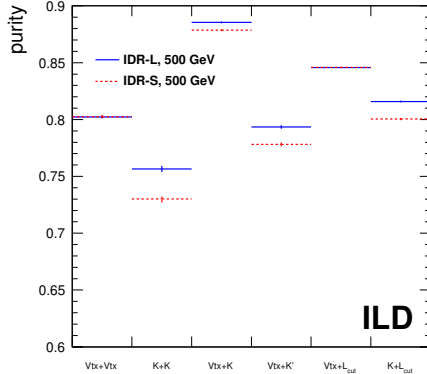


Figure 11: Purities of the various combinations to distinguish between the  $t$  and the  $\bar{t}$  quark.

$e_R^- e_L^+ \rightarrow t\bar{t}$  at 500 GeV

| General selection cuts                           | IDR-L | IDR-S |
|--|-------|-------|
| Isolated Lepton                                  | 94.1% | 94.0% |
| $btag_1 > 0.8$ or $btag_2 > 0.3$                 | 84.9% | 84.8% |
| Thrust $< 0.9$                                   | 84.9% | 84.8% |
| Hadronic mass                                    | 82.2% | 82.3% |
| Reconstructed $m_W$ and $m_t$                    | 77.6% | 77.5% |
| <b><math>t</math> quark polar angle spectrum</b> |       |       |
| $\gamma_t^{had.} + \gamma_t^\ell > 2.4$          | 64.1% | 64.1% |
| <b><math>b</math> quark polar angle spectrum</b> |       |       |
| vertex charge                                    | 10.8% | 10.3% |

Table 5: See Tab. 3 for details.

Table 5 shows the selection efficiencies for the case  $e_R^- e_L^+ \rightarrow t\bar{t}$ . The cut scenario for the reconstruction of the polar angle of the  $t$  quark is much simpler than in case of  $e_L^- e_R^+ \rightarrow t\bar{t}$ . The reason is that the  $t$  quark direction is in first approach given by the  $W$  boson such that a wrong association of  $W$  boson and  $b$  quark doesn’t alter the  $t$  quark direction. For the polar angle spectrum of the underlying  $b$  quark the analysis is restricted to the the combination of the vertex charge. This is further discussed in Sec. 5.

## 5. Results

Figure 12 shows the spectrum of the polar angle  $\cos\theta_b$  after the selection given in Tabs. 1 and 2 and the application of Eq. 3 for  $e_L^- e_R^+ \rightarrow b\bar{b}$ . Large and small detector agree within statistical uncertainties.

230 It seems however that there is larger migration for the small detector.

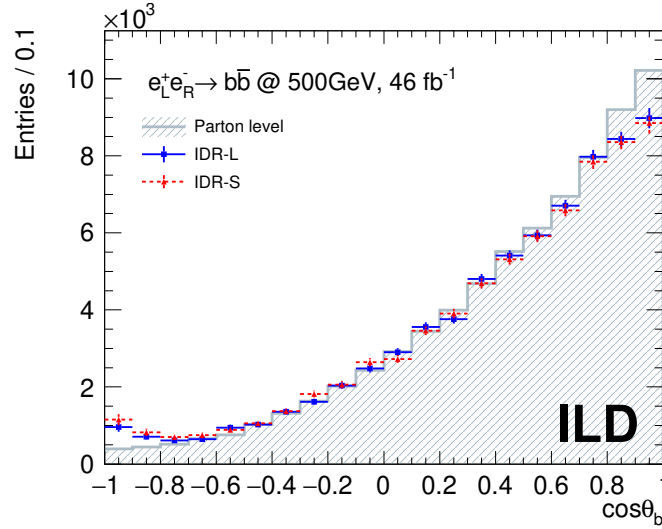


Figure 12: Polar angle spectrum for  $e_L^- e_R^+ \rightarrow b\bar{b}$  at  $\sqrt{s} = 500$  GeV after correction for acceptance and migrations due to charge mismeasurement, see Eq. 3, for the two ILD Detector models.

231 The left part of Fig. 13 shows the polar angle distribution of  $t\bar{t}$  of the generated and reconstructed  
 232 data for the large and the small detector models. For this all cuts and methods given in Tabs. 3  
 233 and 4 have been applied. Overlaid and here and in the following figures, mainly to guide the eye,  
 234 is a polynomial of second degree motivated by the approximate  $d\sigma/d\cos\theta_t \sim S\cos^2\theta_t + A\cos\theta_t$   
 235 dependence of the differential cross section. The right part shows the polar angle distribution of the  
 236 underlying  $b$  quark for the same set of cuts. The polar spectrum can be accurately reconstructed over  
 237 the entire polar angle. Acceptance drops at large absolute values of the polar angle become visible in  
 238 the polar angle spectrum of the  $b$  quark (In this case no attempt was made to correct for acceptance  
 239 as in Fig. 12). However, in the range  $-0.8 < \cos\theta_b < 0.8$  also the polar angle of the  $b$  quark can be  
 240 accurately reconstructed.

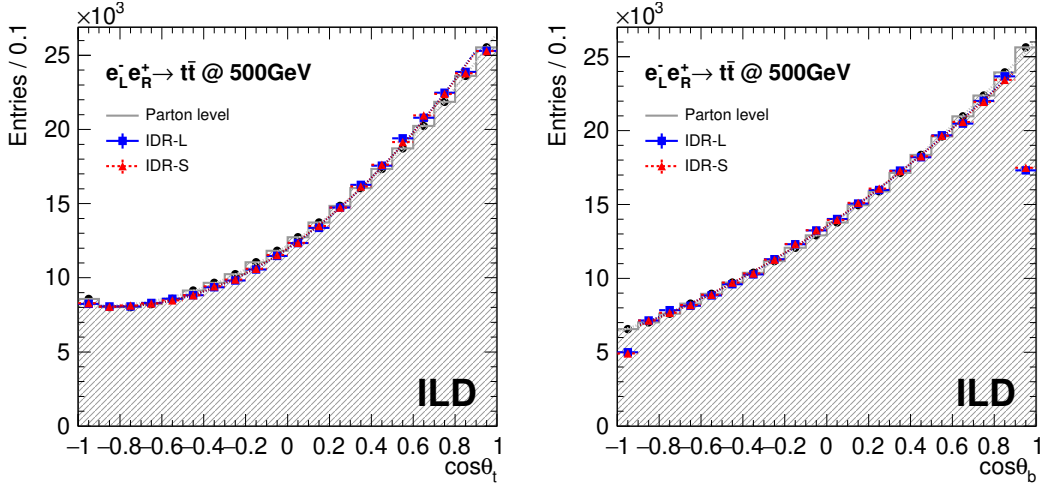


Figure 13: Results for  $e_L^- e_R^+ \rightarrow t\bar{t}$  at  $\sqrt{s} = 500$  GeV for the two ILD Detector models. Left: Polar angle distribution for  $t$  quark. Right: Polar angle distribution for the  $b$  quark that is issue of the  $t$  quark decay. The distributions for IDR-S is normalised to the one for IDR-L so that both histograms will be on the same level. For details on the selection, see text and Tabs. 3 and 4.

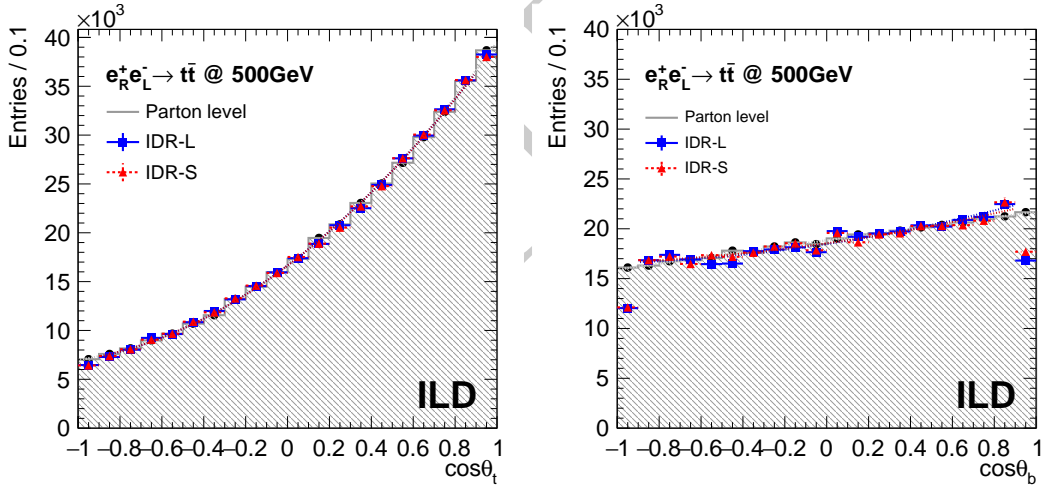


Figure 14: Same as Fig. 13 for  $e_R^- e_L^+ \rightarrow t\bar{t}$ . For details on the selection, see text and Tab. 5.

241 The left part of Fig. 14 shows the polar angle spectrum for the case  $e_R^- e_L^+ \rightarrow t\bar{t}$  after application of  
 242 the cuts introduced in Tab. 5. The generated spectrum can be very precisely reconstructed. The right  
 243 hand part of Fig. 14 shows the polar angle spectrum of the underlying  $b$  quark. Here only events with  
 244 consistent vertex-charge measurements have been included. The polar angle of the  $b$  quark can also  
 245 in this case be very well reconstructed. However, the efficiency drops to 10% as already quantified in  
 246 the lower part of Tab. 5. The inclusion of the other methods is subject to further studies. Preliminary  
 247 results show that taking into account the isolated lepton “swamps” the polar angle spectrum with  
 248 events in which the  $b$  quark direction is not constrained. One reason is certainly that in the case of  
 249  $e_R^- e_L^+ \rightarrow t\bar{t}$  the  $b$  quark is on average softer than in the case of  $e_L^- e_R^+ \rightarrow t\bar{t}$ .

250 Comparing the spectra of the underlying  $b$  quarks demonstrates more clearly than the actual  $t$  quark  
 251 polar angle spectra the different polarisations of the  $t$  quarks projected out by the flight direction of

252 the  $b$  quark. In case of  $e_L^- e_R^+ \rightarrow t\bar{t}$  the final state is enriched with left-handed  $t$  quarks. In this case the  
 253  $b$  quark is preferably emitted in the direction of the  $t$  quark. Therefore the two polar angle spectra in  
 254 Fig. 13 look similar to each other. In case of  $e_R^- e_L^+ \rightarrow t\bar{t}$  the final state is enriched with right-handed  
 255  $t$  quarks. In this case the  $b$  quark is preferably emitted opposite to the direction of the  $t$ -quark. The  
 256 polar angle of the  $b$  quark is a consequence of the Jacobian peak in the vicinity of  $\cos\theta_{Wb} = 0$ , with  
 257  $\cos\theta_{Wb}$  being the opening angle between the  $b$  quark and the  $W$  boson, generated by the boost of  
 258 the back-to-back configuration in the centre-of-mass frame of the decaying  $t$  quark into the laboratory  
 259 frame.

### 260 5.1. Interpretation of the results

261 Table 6 lists the generated and reconstructed value of the forward-backward asymmetry  $A_{FB, reco}^t$   
 262 as an estimator for the quality of the reconstruction.

|                  | $e_L^- e_R^+ \rightarrow t\bar{t}$ |       | $e_R^- e_L^+ \rightarrow t\bar{t}$ |       |
|------------------|------------------------------------|-------|------------------------------------|-------|
|                  | IDR-L                              | IDR-S | IDR-L                              | IDR-S |
| $A_{FB, gen}^t$  | 0.329                              |       | 0.430                              |       |
| $A_{FB, reco}^t$ | 0.342                              | 0.340 | 0.430                              | 0.430 |

Table 6: Selection efficiencies and resulting  $A_{FB, reco}^t$  for both beam polarisations and the two detector models under study.

263 So far the results have been presented for full beam polarisation. Using the known formula [11]

$$\sigma_{\mathcal{P}_{e^-}, \mathcal{P}_{e^+}} = \frac{1}{4} [(1 - \mathcal{P}_{e^-} \mathcal{P}_{e^+})(\sigma_{-,+} + \sigma_{+,-}) + (\mathcal{P}_{e^-} - \mathcal{P}_{e^+})(\sigma_{+,-} - \sigma_{-,+})], \quad (5)$$

264 with  $\sigma_{-,+}$  and  $\sigma_{+,-}$  being the fully polarised cross-sections, the results can be extrapolated to the  
 265 realistic beam polarisations of  $\mathcal{P}_{e^-}, \mathcal{P}_{e^+} = \mp 0.8, \pm 0.3$ . The resulting Born level cross sections are  
 266 1070 fb in case of  $\mathcal{P}_{e^-}, \mathcal{P}_{e^+} = -0.8, +0.3$  and 519 fb in case of  $\mathcal{P}_{e^-}, \mathcal{P}_{e^+} = +0.8, -0.3$ . The resulting  
 267 statistical errors for an integrated luminosity of  $\mathcal{L} = 1600 \text{ fb}^{-1}$  at each of the two polarisation settings  
 268 are given in Table 7.

|       | $\mathcal{P}_{e^-}, \mathcal{P}_{e^+}$ | $(\delta\sigma/\sigma)_{stat.} [\%]$ | $(\delta A_{FB}^t/A_{FB}^t)_{stat.} [\%]$ |
|-------|--|--------------------------------------|---|
| IDR-L | -0.8, +0.3                             | 0.17                                 | 0.7                                       |
|       | +0.8, -0.3                             | 0.25                                 | 0.53                                      |
| IDR-S | -0.8, +0.3                             | 0.17                                 | 0.7                                       |
|       | +0.8, -0.3                             | 0.25                                 | 0.53                                      |

Table 7: Statistical precisions expected for the cross sections and  $A_{FB}^t$  for the case  $\mathcal{P}_{e^-}, \mathcal{P}_{e^+} = -0.8, +0.3$  and the two detector models under study.

269 For both, the cross section and the forward backward asymmetry it can be expected that even at  
 270 full luminosity the statistical error has to be taken into account. However, the systematic errors need  
 271 to be carefully estimated. For the present analysis it would have to be checked how much the sample  
 272 is contaminated by events for which the semi-leptonic decay yields  $\tau$ -leptons or the  $\tau$ -leptons are taken  
 273 into account as in [5]. The contamination by fully hadronic  $t\bar{t}$  events can be expected to be small.

274 To put the results into context, the precisions on the cross-sections and the forward-backward  
 275 asymmetries are translated into precisions on electromagnetic form factors of the  $t$  quark. Figure 15  
 276 shows the precisions at the  $1\sigma$  level expected at ILC500. The precisions are compared with those  
 277 expected after the full HL-LHC running and estimations produced for FCC-ee [12] at the same confi-  
 278 dence level. For ILC500, the two sets  $F_1$  and  $F_2$  have been extracted separately but within each set  
 279 the uncertainties have been extracted simultaneously. The projections for HL-LHC are derived from

280 the *individual* constraints of EFT Wilson coefficients presented in Tab.C2.3 of Ref. [13] (the most  
 281 favorable scenario for HL-LHC). These figures demonstrate clearly the superiority of a linear  $e^+e^-$   
 282 collider with polarised beams operated at an adequate centre-of-mass energy.

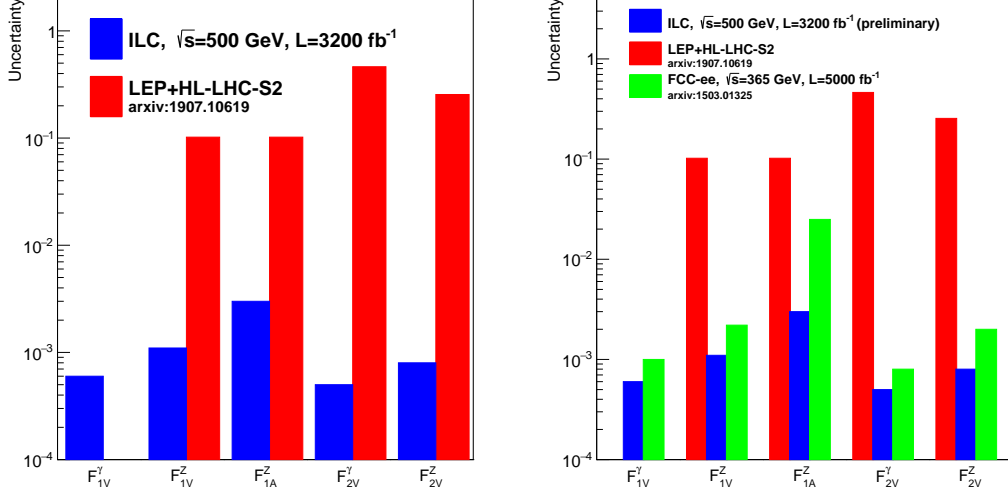


Figure 15: Precisions the on electromagnetic  $t$  quark form factors expected after ILC500 compared with those expected after the full HL-LHC running and an estimation for FCC-ee after 5000  $\text{fb}^{-1}$ . The EFT does not include operators that map onto the  $F_{1V}^Y$  form factor. See text for further details.

## 283 6. Summary

284 This note presents a study of the processes  $e^+e^- \rightarrow t\bar{t}$  and  $e^+e^- \rightarrow b\bar{b}$  with polarised beams at  
 285  $\sqrt{s} = 500$  GeV. The events are simulated and reconstructed with the large and the small models of the  
 286 ILD detector.

287 In case of  $t$  quark pair production the analysis focuses on the semi-leptonic decay channel in which  
 288 the isolated lepton is available for the distinction between the  $t$  quark and the  $\bar{t}$  quark. In case of  
 289 the  $e^+e^- \rightarrow b\bar{b}$  process the distinction has to be made by the measurement of the  $b$  quark charge,  
 290 which helps also for a proper reconstruction of the  $t\bar{t}$  quark pair. The charge of the  $b$  quark can be  
 291 reconstructed with a purity of 80% using the combination of information available from the vertex  
 292 charge, Kaons that have been measured in the TPC of ILD or isolated leptons in case of  $t$ -quark  
 293 production.

294 The analysis shows that both, the large and the small detector model, are capable to provide  
 295 a high precision measurement of the cross-section and the polar angle spectrum of semi-leptonic  $t\bar{t}$   
 296 events with a mild advantage for the large detector. Assuming a total intergrated luminosity of  
 297  $\mathcal{L} = 3200 \text{ fb}^{-1}$  shared equally between the beam polarisations  $\mathcal{P}_{e^-}, \mathcal{P}_{e^+} = \mp 0.8, \pm 0.3$ , the cross sections  
 298 of  $t\bar{t}$  production can be measured to a statistical precision of about 0.2% and the forward backward  
 299 asymmetry to a statistical precision of around 0.6%. The statistical precision on the cross section and  
 300 the forward backward asymmetries are compatible with the scaling of the results found in [5].

301 For the first time the polar angle spectrum of the underlying  $b$  quark, issue of the  $t$  quark decay,  
 302 is presented. This spectrum reveals more clearly the acceptance drop towards large polar angles. Still  
 303 the polar angle of the  $b$  quark can be reconstructed accurately within  $|\cos\theta| < 0.8$  for the two studied  
 304 beam polarisations  $\mathcal{P}_{e^-}, \mathcal{P}_{e^+} = \pm 1, \mp 1$ . In case  $\mathcal{P}_{e^-}, \mathcal{P}_{e^+} = +1, -1$  the efficiency drops however to  
 305 10% (compared with 30% for the case  $\mathcal{P}_{e^-}, \mathcal{P}_{e^+} = +1, -1$ ). since only the vertex charge is used for  
 306 this measurement. Here further work is clearly needed to improve the event yield. Both results allow



307 however already now for the perspective that in the future the fully hadronic final state can be taken  
308 into account for the analysis and that observables specific to the produced  $b$  quark can be addressed.

309 The study of the  $t\bar{t}$  production has been accompanied by the second benchmark study  $e^+e^- \rightarrow b\bar{b}$ .  
310 Since only  $46 \text{ fb}^{-1}$  are available for this channel it should be rather considered as an auxiliary study.  
311 However, it is shown that the polar angle spectrum can be very well reconstructed even for the hard  $b$   
312 jets and that migrations can be controlled at a satisfactory level. It is therefore justified to conclude  
313 that ILD should be able to make precision measurements in this channel even at a centre-of-mass  
314 energy of 500 GeV. Although the results are similar for both the small and the large detector model,  
315 this analysis, more than the analysis of the  $t\bar{t}$  process reveals a slight preference for the large model.  
316 This is most clearly visible in the purity of the charge measurement using Kaons, which may depend  
317 on the actual TPC radius.

318 Also in the future all heavy quark studies should be carried out in close cooperation with each  
319 other. As can be seen from the present study there are many common issues between the studies.  
320 In the future emphasis will be put on systematic uncertainties given e.g. by hemisphere correlations.  
321 These studies may be more involved in case of  $t\bar{t}$  since in general the two  $b$  quarks are not back-to-back.

## 322 Acknowledgements

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### 363 A. Details on particle separation via $dE/dx$

364 In Fig. 8.6 of the IDR the separation power between different particle types is given. A useful  
 365 supplementary information of this summary is the relative frequency of the different particle types.  
 366 The Fig. 16 displays the normalised  $dE/dx$  spectrum for different particles in different momentum  
 367 ranges for the large and the small detector model. In both cases there is a clear separation of Kaons  
 368 from pions. The latter are however much more abundant. There is only a small population of protons.  
 369 Figure 17 shows the  $dE/dx$  spectra for the two processes under study.

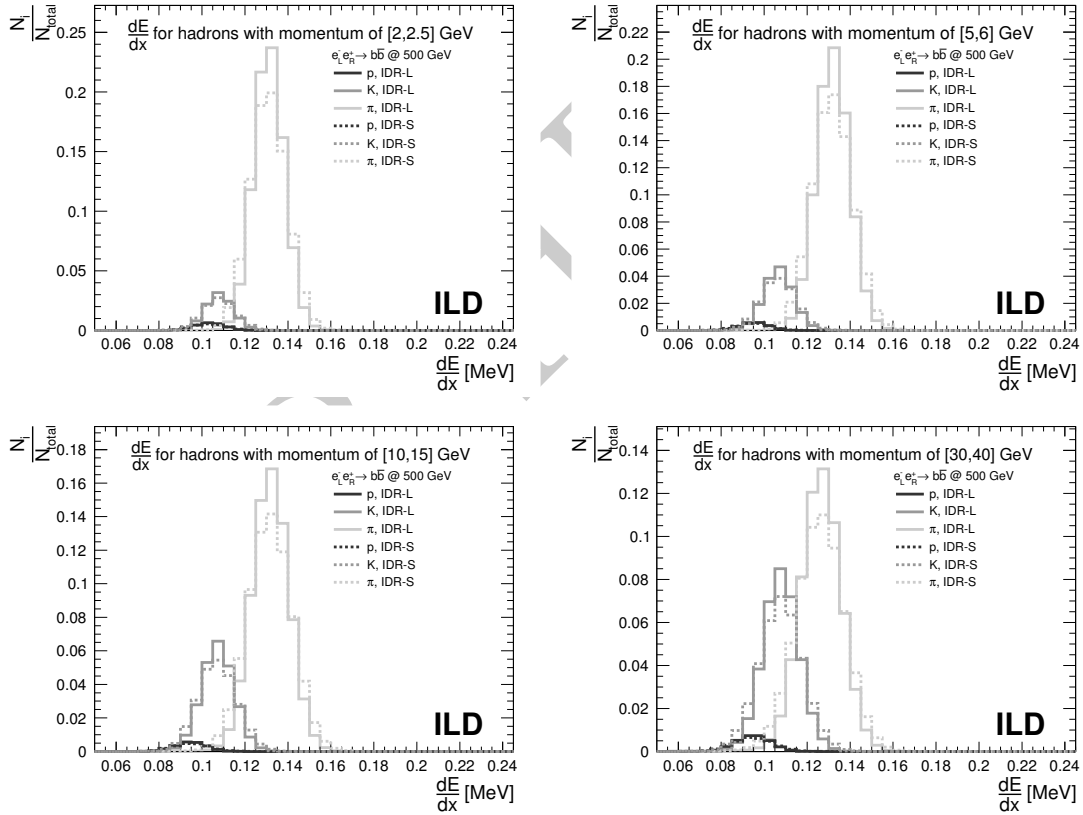


Figure 16: Projection of  $dE/dx$  for several momentum ranges. Comparison of hadron separation performance by different detector models in  $b\bar{b}$  final states.

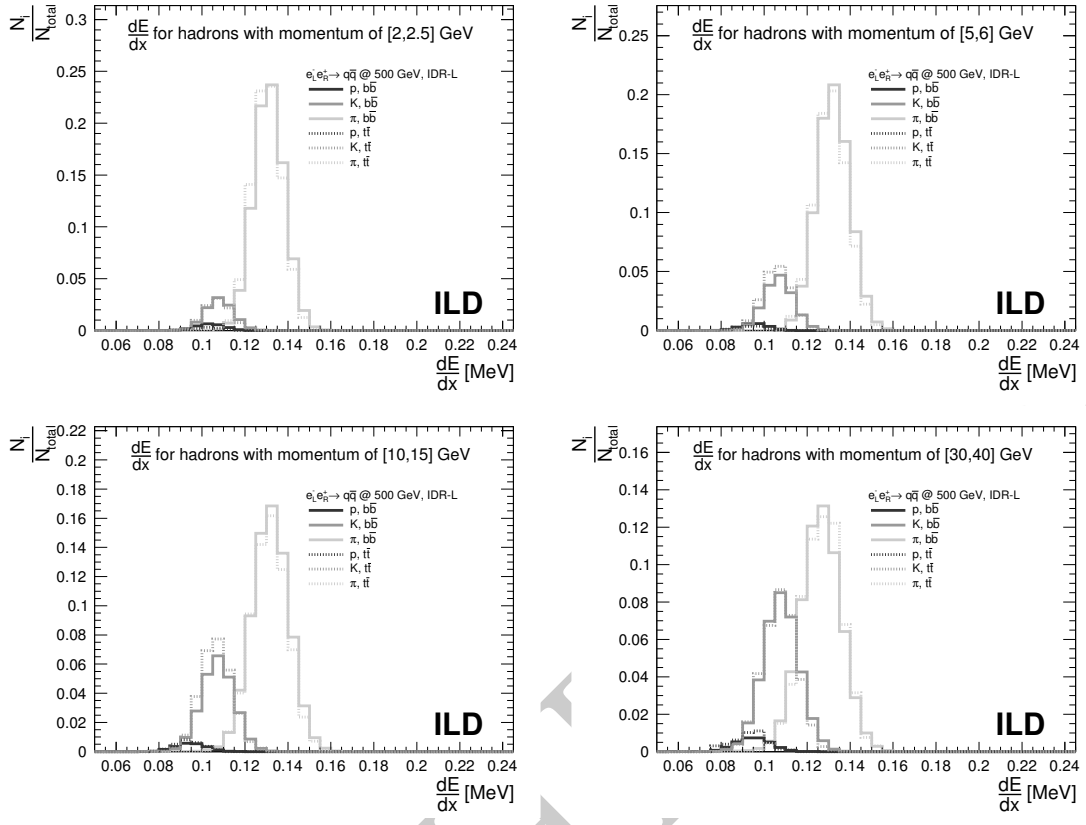


Figure 17: Projection of  $dE/dx$  for several momentum ranges. Comparison of hadron separation performance by the large model for different topologies.